

# **INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

Application Number	UNASSIGNED
Filing Date	CONCURRENTLY
First Named Inventor	R.A.S. VAN EIBERGEN
Prior Group Art Unit	3724
Prior Examiner Name	CHARLES GOODMAN
Attorney Docket Number	PHN 17769A

☒ Please charge any required fee under §1.17(i) or §1.17(p) or any other required fee (except the issue fee) to Account No. 14-1270.

- ☐ I certify that these documents were first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months ago.
  - ☐ I certify that none of these documents were cited in any communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, none of these documents was known to any individual designated in §1.56(c) more than three (3) months ago.
- ☐ Applicant hereby petitions under §1.97(d) that this IDS be considered after final Action or Notice of Allowance, pays the fee under §1.17(p) as indicated below, and I certify 1. or 2. as indicated above.
- ☐ A fee under §1.17(p) is not required under §1.97(c), after the first Action on the merits and more than 3 months after the date of application or RCE, because I certify 1. or 2. as indicated above.
- ☐ A copy of the citations is not required because they were previously submitted or cited in the parent application (or in U.S. patent application Ser. No. \_\_\_\_\_ Filing Date \_\_\_\_\_ relied on for an earlier effective filing date under 35 U.S.C. 120).
- ☐ A concise explanation of the relevance of each non-English document, as understood by the individual designated in §1.56(c) most knowledgeable about the contents, is enclosed per §1.98(a)(3).

The concise explanation of the relevance of any non-English document, as understood by the individual designated in §1.56(c) most knowledgeable about the contents, is that the document is/was (check one):

- ☐ cited in the specification or considered in drafting the specification of this application;
- ☒ previously submitted or cited in the parent application (or in a related patent application Ser. No.: 09/723,425, Filing Date: NOVEMBER 28, 2000);
- ☐ cited as an "X" or "Y" document in a foreign Patent Office search report in a foreign counterpart application, a copy of which report is also enclosed.

## **SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print Type)	ERNESTINE C. BARTLETT	Registration No. (Attorney/Agent)	22,861
Signature	<i>Ernestine C. Bartlett</i>	Date	1/9/04

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(REV. 7-80) PATENT AND TRADEMARK OFFICE

Atty. Docket No.  
PHN 17,769A

Serial No.

Applicant  
ROBERT A. SANTHAGENS VAN EIBERGEN ET AL

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Filing Date  
CONCURRENTLY

Group

**U.S. PATENT DOCUMENTS**

Ex. Int.		Document Number	Date	Name	Class	Sub- class	Filing Date If Approp.
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub- class	Trans.
							Yes No
	AG	W O 9 7 2 6 1 1 9	7/1997	PCT (WORLD)	B26B	21/22	
	AH						
	AI						
	AJ						
	AK						

**OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)**

	AL	
	AM	
	AN	

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP  
Draw line through citation if not in conformance and not considered. Include a copy  
this form with next communication to applicant.

**Notice of References Cited**

Application/Control No.

Applicant(s)/Patent Under  
Reexamination  
SANTHAGENS VAN EIBERGEN E

Examiner

Charles Goodman

Art. Unit

3724

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**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-6397473	06-2002	Clark	30/50
	B	US-6378211	04-2002	McCool et al	30/50
	C	US-6295734	10-2001	Gilder et al	30/50
	D	US-6185823	02-2001	Brown et al	30/50
	E	US-6161288	12-2000	Andrews	30/50
	F	US-6161287	12-2000	Swanson et al	30/50
	G	US-6145201	11-2000	Andrews	30/50
	H	US-6141875	11-2000	Andrews	30/50
	I	US-6055731	05-2000	Zucker	30/34.2 X
	J	US-5964034	10-1999	Sueyoshi et al	30/34.2
	K	US-5953825	09-1999	Christman et al	30/50 X
	L	US-5546660	08-1996	Burout et al	30/50
	M	US-5416974	05-1995	Wain	30/50

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N	EP 0429174 A2	05-1991	Europe	Iderosa	30/50
	O	WO 95/04637	02-1995	World	Iderosa	30/50
	P	JP 4-117987	04-1992	Japan	---	30/50
	Q	Jp 5-192	01-1993	Japan	---	30/50
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

# **Notice of References Cited**

Application/Control No.

Applicant(s)/Patent Under  
Reexamination  
SANTHAGENS VAN EIBERGEN E

Examiner  
Charles Goodman

Art Unit  
3724

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## **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-5369885	12-1994	Ferraro	30/50 X
	B	US-5301425	04-1994	Ferraro	30/50 X
	C	US-5251376	10-1993	Althaus et al	30/50
	D	US-5224267	07-1993	Simms et al	30/50
	E	US-5222300	06-1993	Althaus et al	30/50
	F	US-5152064	10-1992	Johnston	30/50 X
	G	US-4932123	06-1990	Francis	30/50 X
	H	US-4868983	09-1989	Francis	30/50
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

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